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TRANSMITTAL FORM  (to be used for all correspondence after initial filing)		Application Number	09//00,651	
		Filing Date	November 17, 2000	
		First Named Inventor	Matsumoto, Yoh-Ichi	
		Group Art Unit	Unassigned	
		Examiner Name	Unassigned	
Total Number of Pages in This Submiss	ion S	Attorney Docket Number	019026-000110US	
ENCLOSURES (check all that apply)				
Fee Transmittal Form		ment Papers Application)	After Allowance Communication to Group	
Fee Attached	☐ Drawin	g(s)	Appeal Communication to Board of Appeals and Interferences	
Amendment / Response	Licensi	ng-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
After Final		n Routing Slip (PTO/SB/69) companying Petition	Proprietary Information	
Affidavits/declaration(s)		n to Convert to a onal Application	Status Letter	
Extension of Time Request		of Attorney, Revocation e of Correspondence Address	Other Enclosure(s) (please identify below):	
Express Abandonment Request		al Disclaimer	Return Postcard	
		st for Refund	Copy of Notice of Missing Parts	
Information Disclosure Statement	CD, Nu	imber of CD(s)	Copy of Preliminary Amendment filed with application.	
Certified Copy of Priority Document(s)	Rema	Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.		
Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53		The Sequence listing and CRF of the Sequence listing was sent under separate cover to BOX SEQUENCE.		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT				
Firm Townsend and Townsend and Crew LLP and Individual name Townsend and Crew LLP Reg. No. 37,505				
Signature J. Luck	Signature J. Ludulla			
	Date July 19, 2002			
Date July 19, 2002				
Date July 19, 2002	CEI	RTIFICATE OF MAILING		
	e is being depo	sited with the United States Po	estal Service with sufficient postage as first gton, D.C. 20231 on this date:  July 19, 2002	
I hereby certify that this correspondence	e is being depor	sited with the United States Po	gton, D.C. 20231 on this date:	

comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PA 3237232 v1 Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any



## UNITED STATES PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. FIRST NAMED APPLICANT U.S. APPLICATION NUMBER NO. 019026 00011OUS 09/700,851 Atsuchi Imaizumi INTERNATIONAL APPLICATION NO. PCT/US99/11179 I.A. FILING DATE PRIORITY DATE Joe Liebeschuetz 05/19/1999 05/20/1998 Two Embarcadero Center 8th Floor

371 FORMALITIES LETTER

Response Due

**CONFIRMATION NO. 3540** 

NOTIFICATION OF DEFECTIVE RESPON

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Priority Document

San Francisco, CA 94111

Date Mailed: 06/21/2002

- Biochemical Sequence Diskette
- Biochémical Sequence Listing
- Copy of IPE Report
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- **Preliminary Amendments**
- Request for Immediate Examination

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

Additionally the following defects have been observed:

The following items MUST be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the

computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832. as indicated on the attached marked-up copy of the "Raw Sequence Listing."

- The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
- APPLICANT MUST PROVIDE:
  - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
  - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase Patentin Software, call (703) 306-2600
  - For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov
  - Additional claim fees of \$552 as a non-small entity, including any required multiple dependent claim fee. are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$552 for a Large Entity:

- Total additional claim fee(s) for this application is \$552
  - \$92 for 3 independent claims over 3.
  - \$180 for 23 total claims over 20.
  - \$280 for multiple dependant claims surcharge.
- A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832. as indicated on the attached marked-up copy of the "Raw Sequence Listing."
  - The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

KAYA L LEWIS BALTIMORE

Telephone: (703) 305-3695

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.		
09/700,851	PCT/US99/11179	019026 00011OUS		

FORM PCT/DO/EO/916 (371 Formalities Notice)

I hereby certify that this correspondents is being being bosited with the United States Postal Service as first class mail in an envelope addressed to:

Attorney Docket No.: 019026-000110US Client Ref. No.: T-400

Assistant Commissioner for Patents Washington, D.C. 20231

On July 19, 2002

TOWNSEND and TOWNSEND and CREW LLR

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

YOH-ICHI MATSUMOTO et al.

Application No.: 09/700,851

Filed: November 17, 2000

For: HUMANIZED ANTIBODIES THAT RECOGNIZE VEROTOXIN II AND CELL LINE PRODUCING SAME

Examiner: Unassigned

Art Unit: Unassigned

COMMUNICATION

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The Notification of Defective Response mailed June 21, 2002, requested applicants to pay additional claim fees. However, in calculating the additional fees, the PTO did not take into account a preliminary amendment filed at the same time as the present application (November 17, 2001). The preliminary amendment cancelled multiply dependent claims. A copy of the preliminary amendment is attached.

In view of cancellation of claims in the preliminary amendment, it is submitted that additional claim fees are not required.

YOH-ICHI MATSUMOTO et al. Application No.: 09/700,851

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If the Examiner believes a telephone conference would expedite prosecution of this application, please telephone the undersigned at 650-326-2400.

Respectfully submitted,

Joe Liebeschuetz Reg. No. 37,505

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8<sup>th</sup> Floor San Francisco, California 94111-3834 Tel: (650) 326-2400

Fax: (650) 326-2422

JOL:pfh PA 3237215 v1